

THE DESIGN AND IMPLEMENTATION OF THE FreeBSD

SECOND EDITION

OPERATING SYSTEM

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Process Management

4.1 Introduction to Process Management

A *process* is a program in execution. A process has an address space containing a mapping of its program's object code and global variables. It also has a set of kernel resources that it can name and on which it can operate using system calls. These resources include its credentials, signal state, and its descriptor array that gives it access to files, pipes, sockets, and devices. Each process has at least one and possibly many threads that execute its code. Every thread represents a virtual processor with a full context worth of register state and its own stack mapped into the address space. Every thread running in the process has a corresponding kernel thread, with its own kernel stack that represents the user thread when it is executing in the kernel as a result of a system call, page fault, or signal delivery.

A process must have system resources, such as memory and the underlying CPU. The kernel supports the illusion of concurrent execution of multiple processes by scheduling system resources among the set of processes that are ready to execute. On a multiprocessor, multiple threads of the same or different processes may execute concurrently. This chapter describes the composition of a process, the method that the system uses to switch between the process's threads, and the scheduling policy that it uses to promote sharing of the CPU. It also introduces process creation and termination, and details the signal and process-debugging facilities.

Two months after the developers began the first implementation of the UNIX operating system, there were two processes: one for each of the terminals of the PDP-7. At age 10 months, and still on the PDP-7, UNIX had many processes, the *fork* operation, and something like the *wait* system call. A process executed a new program by reading in a new program on top of itself. The first PDP-11 system (First Edition UNIX) saw the introduction of *exec*. All these systems allowed only one process in memory at a time. When a PDP-11 with memory management (a

KS-11) was obtained, the system was changed to permit several processes to remain in memory simultaneously, to reduce swapping. But this change did not apply to multiprogramming because disk I/O was synchronous. This state of affairs persisted into 1972 and the first PDP-11/45 system. True multiprogramming was finally introduced when the system was rewritten in C. Disk I/O for one process could then proceed while another process ran. The basic structure of process management in UNIX has not changed since that time [Ritchie, 1988].

The threads of a process operate in either *user mode* or *kernel mode*. In user mode, a thread executes application code with the machine in a nonprivileged protection mode. When a thread requests services from the operating system with a system call, it switches into the machine's privileged protection mode via a protected mechanism and then operates in kernel mode.

The resources used by a thread are split into two parts. The resources needed for execution in user mode are defined by the CPU architecture and typically include the CPU's general-purpose registers, the program counter, the processorstatus register, and the stack-related registers, as well as the contents of the memory segments that constitute FreeBSD's notion of a program (the text, data, shared library, and stack segments).

Kernel-mode resources include those required by the underlying hardware such as registers, program counter, and the stack pointer. These resources also include the state required for the FreeBSD kernel to provide system services for a thread. This *kernel state* includes parameters to the current system call, the current process's user identity, scheduling information, and so on. As described in Section 3.1, the kernel state for each process is divided into several separate data structures, with two primary structures: the *process structure* and the *thread structure*.

The process structure contains information that must always remain resident in main memory, along with references to other structures that remain resident, whereas the thread structure tracks information that needs to be resident only when the process is executing such as its kernel run-time stack. Process and thread structures are allocated dynamically as part of process creation and are freed when the process is destroyed as it exits.

Multiprogramming

FreeBSD supports transparent multiprogramming: the illusion of concurrent execution of multiple processes or programs. It does so by *context switching*—that is, by switching between the execution context of the threads within the same or different processes. A mechanism is also provided for *scheduling* the execution of threads—that is, for deciding which one to execute next. Facilities are provided for ensuring consistent access to data structures that are shared among processes.

Context switching is a hardware-dependent operation whose implementation is influenced by the underlying hardware facilities. Some architectures provide machine instructions that save and restore the hardware-execution context of a thread or an entire process including its virtual-address space. On others, the software must collect the hardware state from various registers and save it, then load those registers with the new hardware state. All architectures must save and restore the software state used by the kernel.

Context switching is done frequently, so increasing the speed of a context switch noticeably decreases time spent in the kernel and provides more time for execution of user applications. Since most of the work of a context switch is expended in saving and restoring the operating context of a thread or process, reducing the amount of the information required for that context is an effective way to produce faster context switches.

Scheduling

Fair scheduling of threads and processes is an involved task that is dependent on the types of executable programs and on the goals of the scheduling policy. Programs are characterized according to the amount of computation and the amount of I/O that they do. Scheduling policies typically attempt to balance resource utilization against the time that it takes for a program to complete. In FreeBSD's default scheduler, which we shall refer to as the timeshare scheduler, a process's priority is periodically recalculated based on various parameters, such as the amount of CPU time it has used, the amount of memory resources it holds or requires for execution, etc. Some tasks require more precise control over process execution called real-time scheduling. Real-time scheduling must ensure that threads finish computing their results by a specified deadline or in a particular order. The FreeBSD kernel implements real-time scheduling using a separate queue from the queue used for regular timeshared processes. A process with a real-time priority is not subject to priority degradation and will only be preempted by another thread of equal or higher real-time priority. The FreeBSD kernel also implements a queue of threads running at idle priority. A thread with an idle priority will run only when no other thread in either the real-time or timeshare-scheduled queues is runnable and then only if its idle priority is equal to or greater than all other runnable idle-priority threads.

The FreeBSD timeshare scheduler uses a priority-based scheduling policy that is biased to favor *interactive programs*, such as text editors, over long-running batch-type jobs. Interactive programs tend to exhibit short bursts of computation followed by periods of inactivity or I/O. The scheduling policy initially assigns a high execution priority to each thread and allows that thread to execute for a fixed *time slice*. Threads that execute for the duration of their slice have their priority lowered, whereas threads that give up the CPU (usually because they do I/O) are allowed to remain at their priority. Threads that are inactive have their priority raised. Jobs that use large amounts of CPU time sink rapidly to a low priority, whereas interactive jobs that are mostly inactive remain at a high priority so that, when they are ready to run, they will preempt the long-running lower-priority jobs. An interactive job, such as a text editor searching for a string, may become compute-bound briefly and thus get a lower priority, but it will return to a high priority when it is inactive again while the user thinks about the result.

Some tasks, such as the compilation of a large application, may be done in many small steps in which each component is compiled in a separate process. No

individual step runs long enough to have its priority degraded, so the compilation as a whole impacts the interactive programs. To detect and avoid this problem, the scheduling priority of a child process is propagated back to its parent. When a new child process is started, it begins running with its parent's current priority. As the program that coordinates the compilation (typically **make**) starts many compilation steps, its priority is dropped because of the CPU-intensive behavior of its children. Later compilation steps started by **make** begin running and stay at a lower priority, which allows higher-priority interactive programs to run in preference to them as desired.

The system also needs a scheduling policy to deal with problems that arise from not having enough main memory to hold the execution contexts of all processes that want to execute. The major goal of this scheduling policy is to minimize *thrashing*—a phenomenon that occurs when memory is in such short supply that more time is spent in the system handling page faults and scheduling processes than in user mode executing application code.

The system must both detect and eliminate thrashing. It detects thrashing by observing the amount of free memory. When the system has little free memory and a high rate of new memory requests, it considers itself to be thrashing. The system reduces thrashing by marking the least recently run process as not being allowed to run, allowing the pageout daemon to push all the pages associated with the process to backing store. On most architectures, the kernel also can push to backing store the kernel stacks of all the threads of the marked process. The effect of these actions is to cause the process and all its threads to be swapped out (see Section 6.12). The memory freed by blocking the process can then be distributed to the remaining processes, which usually can then proceed. If the thrashing continues, additional processes are selected to be blocked from running until enough memory becomes available for the remaining processes to run effectively. Eventually, enough processes complete and free their memory that blocked processes can resume execution. However, even if there is not enough memory, the blocked processes are allowed to resume execution after about 20 seconds. Usually, the thrashing condition will return, requiring that some other process be selected for being blocked (or that an administrative action be taken to reduce the load).

4.2 Process State

Every process in the system is assigned a unique identifier termed the *process identifier* (*PID*). PIDs are the common mechanism used by applications and by the kernel to reference processes. PIDs are used by applications when the latter send a signal to a process and when receiving the exit status from a deceased process. Two PIDs are of special importance to each process: the PID of the process itself and the PID of the process's parent process.

The layout of process state is shown in Figure 4.1. The goal is to support multiple threads that share an address space and other resources. A *thread* is the unit of execution of a process; it requires an address space and other resources, but it can share many of those resources with other threads. Threads sharing an



address space and other resources are scheduled independently and in FreeBSD can all execute system calls simultaneously. The process state in FreeBSD is designed to support threads that can select the set of resources to be shared, known as variable-weight processes [Aral et al., 1989].

Each of the components of process state is placed into separate substructures for each type of state information. The process structure references all the substructures directly or indirectly. The thread structure contains just the information needed to run in the kernel: information about scheduling, a stack to use when running in the kernel, a *thread state block (TSB)*, and other machine-dependent state. The TSB is defined by the machine architecture; it includes the general-purpose registers, stack pointers, program counter, processor-status word, and memory-management registers.

The first threading models that were deployed in systems such as FreeBSD 5 and Solaris used an N:M threading model in which many user level threads (N) were supported by a smaller number of threads (M) that could run in the kernel [Simpleton, 2008]. The N:M threading model was light-weight but incurred extra overhead when a user-level thread needed to enter the kernel. The model assumed that application developers would write server applications in which potentially thousands of clients would each use a thread, most of which would be idle waiting for an I/O request.

While many of the early applications using threads, such as file servers, worked well with the N:M threading model, later applications tended to use pools of dozens to hundreds of worker threads, most of which would regularly enter the kernel. The application writers took this approach because they wanted to run on a wide range of platforms and key platforms like Windows and Linux could not support tens of thousands of threads. For better efficiency with these applications, the N:M threading model evolved over time to a 1:1 threading model in which every user thread is backed by a kernel thread.

Like most other operating systems, FreeBSD has settled on using the POSIX threading API often referred to as Pthreads. The Pthreads model includes a rich set of primitives including the creation, scheduling, coordination, signalling, rendezvous, and destruction of threads within a process. In addition, it provides shared and exclusive locks, semaphores, and condition variables that can be used to reliably interlock access to data structures being simultaneously accessed by multiple threads.

In their lightest-weight form, FreeBSD threads share all the process resources including the PID. When additional parallel computation is needed, a new thread is created using the *pthread_create()* library call. The pthread library must keep track of the user-level stacks being used by each of the threads, since the entire address space is shared including the area normally used for the stack. Since the threads all share a single process structure, they have only a single PID and thus show up as a single entry in the **ps** listing. There is an option to **ps** that requests it to list a separate entry for each thread within a process.

Many applications do not wish to share all of a process's resources. The *rfork* system call creates a new process entry that shares a selected set of resources from its parent. Typically, the signal actions, statistics, and the stack and data parts of the address space are not shared. Unlike the lightweight thread created by *pthread_create()*, the *rfork* system call associates a PID with each thread that shows up in a **ps** listing and that can be manipulated in the same way as any other process in the system. Processes created by *fork*, *vfork*, or *rfork* initially have just a single thread structure associated with them. A variant of the *rfork* system call is used to emulate the Linux *clone()* functionality.

The Process Structure

In addition to the references to the substructures, the process entry shown in Figure 4.1 contains the following categories of information:

- · Process identification: the PID and the parent PID
- Signal state: signals pending delivery and summary of signal actions
- Tracing: process tracing information
- Timers: real-time timer and CPU-utilization counters

The process substructures shown in Figure 4.1 have the following categories of information:

- Process-group identification: the process group and the session to which the process belongs
- User *credentials*: the real, effective, and saved user and group identifiers; credentials are described more fully in Chapter 5
- Memory management: the structure that describes the allocation of virtual address space used by the process; the virtual-address space and its related structures are described more fully in Chapter 6
- File descriptors: an array of pointers to file entries indexed by the process's open file descriptors; also, the open file flags and current directory
- System call vector: the mapping of system call numbers to actions; in addition to current and deprecated native FreeBSD executable formats, the kernel can run binaries compiled for several other UNIX variants such as Linux and System V Release 4 by providing alternative system call vectors when such environments are requested
- Resource accounting: the *rlimit* structures that describe the utilization of the many resources provided by the system (see Section 3.7)
- Statistics: statistics collected while the process is running that are reported when it exits and are written to the accounting file; also includes process timers and profiling information if the latter is being collected
- Signal actions: the action to take when a signal is posted to a process
- Thread structure: the contents of the thread structure (described at the end of this section)

The state element of the process structure holds the current value of the process state. The possible state values are shown in Table 4.1. When a process is first

State	Description
NEW	undergoing process creation
NORMAL	thread(s) will be RUNNABLE, SLEEPING, or STOPPED
ZOMBIE	undergoing process termination

created with a *fork* system call, it is initially marked as NEW. The state is changed to NORMAL when enough resources are allocated to the process for the latter to begin execution. From that point onward, a process's state will be NORMAL until the process terminates. Its thread(s) will fluctuate among RUNNABLE—that is, preparing to be or actually executing; SLEEPING—that is, waiting for an event; and STOPPED—that is, stopped by a signal or the parent process. A deceased process is marked as ZOMBIE until it has freed its resources and communicated its termination status to its parent process.

The system organizes process structures into two lists. Process entries are on the *zombproc* list if the process is in the ZOMBIE state; otherwise, they are on the *allproc* list. The two queues share the same linkage pointers in the process structure, since the lists are mutually exclusive. Segregating the dead processes from the live ones reduces the time spent both by the *wait* system call, which must scan the zombies for potential candidates to return, and by the scheduler and other functions that must scan all the potentially runnable processes.

Most threads, except the currently executing thread (or threads if the system is running on a multiprocessor), are also in one of three queues: a *run queue*, a *sleep queue*, or a *turnstile queue*. Threads that are in a runnable state are placed on a run queue, whereas threads that are blocked while awaiting an event are located on either a turnstile queue or a sleep queue. Stopped threads awaiting an event are located on a turnstile queue, a sleep queue, or they are on no queue. The run queues are organized according to thread-scheduling priority and are described in Section 4.4. The sleep and turnstile queues are organized in a data structure that is hashed by an event identifier. This organization optimizes finding the sleeping threads that need to be awakened when a wakeup occurs for an event. The sleep and turnstile queues are described in Section 4.3.

The p_pptr pointer and related lists ($p_children$ and $p_sibling$) are used in locating related processes, as shown in Figure 4.2. When a process spawns a child process, the child process is added to its parent's $p_children$ list. The child process also keeps a backward link to its parent in its p_pptr pointer. If a process has more than one child process active at a time, the children are linked together through their $p_sibling$ list entries. In Figure 4.2, process B is a direct descendant of process A, whereas processes C, D, and E are descendants of process B and are

Figure 4.2 Process-group hierarchy.



Kang	e Class	Thread type
0 - 4	17 ITHD	bottom-half kernel (interrupt)
48 –	79 REALTIME	real-time user
80 - 1	119 KERN	top-half kernel
120 -	223 TIMESHARE	time-sharing user
224 -	255 IDLE	idle user

Table 4.2	Thread-	schedul	ling	classes.
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siblings of one another. Process B typically would be a shell that started a pipeline (see Sections 2.4 and 4.8) including processes C, D, and E. Process A probably would be the system-initialization process **init** (see Sections 3.1 and 15.4).

CPU time is made available to threads according to their *scheduling class* and *scheduling priority*. As shown in Table 4.2, the FreeBSD kernel has two kernel and three user scheduling classes. The kernel will always run the thread in the highest-priority class. Any kernel-interrupt threads will run in preference to any-thing else followed by any runnable real-time threads. Any top-half-kernel threads are run in preference to runnable threads in the share and idle classes. Runnable timeshare threads are run in preference to runnable threads in the idle class. The priorities of threads in the real-time and idle classes are set by the applications using the *rtprio* system call and are never adjusted by the kernel. The bottom-half interrupt priorities are set based on predefined priorities for each kernel subsystem and never change.

The priorities of threads running in the timeshare class are adjusted by the kernel based on resource usage and recent CPU utilization. A thread has two scheduling priorities: one for scheduling user-mode execution and one for scheduling kernel-mode execution. The td_user_pri field associated with the thread structure contains the user-mode scheduling priority, whereas the $td_priority$ field holds the current scheduling priority. The current priority may be different from the user-mode priority when the thread is executing in the top half of the kernel. Priorities range between 0 and 255, with a lower value interpreted as a higher priority (see Table 4.2). User-mode priorities range from 120 to 255; priorities less than 120 are used only by real-time threads or when a thread is asleep—that is, awaiting an event in the kernel—and immediately after such a thread is awakened. Threads asleep in the kernel are given a higher priority because they typically hold shared kernel resources when they awaken. The system wants to run them as quickly as possible once they get a resource so that they can use the resource and return it before another thread requests it and gets blocked waiting for it.

When a thread goes to sleep in the kernel, it must specify whether it should be awakened and marked runnable if a signal is posted to it. In FreeBSD, a kernel thread will be awakened by a signal only if it sets the PCATCH flag when it sleeps. The *msleep()* interface also handles sleeps limited to a maximum time duration and the processing of restartable system calls. The *msleep()* interface includes a reference to a string describing the event that the thread awaits; this string is externally visible—for example, in **ps**. The decision of whether to use an interruptible sleep depends on how long the thread may be blocked. Because it is complex to handle signals in the midst of doing some other operation, many sleep requests are not interruptible; that is, a thread will not be scheduled to run until the event for which it is waiting occurs. For example, a thread waiting for disk I/O will sleep with signals blocked.

For quickly occurring events, delaying to handle a signal until after they complete is imperceptible. However, requests that may cause a thread to sleep for a long period, such as waiting for terminal or network input, must be prepared to have its sleep interrupted so that the posting of signals is not delayed indefinitely. Threads that sleep interruptibly may abort their system call because of a signal arriving before the event for which they are waiting has occurred. To avoid holding a kernel resource permanently, these threads must check why they have been awakened. If they were awakened because of a signal, they must release any resources that they hold. They must then return the error passed back to them by sleep(), which will be EINTR if the system call is to be aborted after the signal or ERESTART if it is to be restarted. Occasionally, an event that is supposed to occur quickly, such as a disk I/O, will get held up because of a hardware failure. Because the thread is sleeping in the kernel with signals blocked, it will be impervious to any attempts to send it a signal, even a signal that should cause it to exit unconditionally. The only solution to this problem is to change *sleep()*s on hardware events that may hang to be interruptible.

In the remainder of this book, we shall always use sleep() when referring to the routine that puts a thread to sleep, even when one of the $mtx_sleep()$, $sx_sleep()$, $rw_sleep()$, or $t_sleep()$ interfaces is the one that is being used.

The Thread Structure

The thread structure shown in Figure 4.1 contains the following categories of information:

- Scheduling: the thread priority, user-mode scheduling priority, recent CPU utilization, and amount of time spent sleeping; the run state of a thread (runnable, sleeping); additional status flags; if the thread is sleeping, the *wait channel*, the identity of the event for which the thread is waiting (see Section 4.3), and a pointer to a string describing the event
- TSB: the user- and kernel-mode execution states
- Kernel stack: the per-thread execution stack for the kernel
- Machine state: the machine-dependent thread information

Historically, the kernel stack was mapped to a fixed location in the virtual address space. The reason for using a fixed mapping is that when a parent forks, its run-

time stack is copied for its child. If the kernel stack is mapped to a fixed address, the child's kernel stack is mapped to the same addresses as its parent kernel stack. Thus, all its internal references, such as frame pointers and stack-variable references, work as expected.

On modern architectures with virtual address caches, mapping the kernel stack to a fixed address is slow and inconvenient. FreeBSD removes this constraint by eliminating all but the top call frame from the child's stack after copying it from its parent so that it returns directly to user mode, thus avoiding stack copying and relocation problems.

Every thread that might potentially run must have its stack resident in memory because one task of its stack is to handle page faults. If it were not resident, it would page fault when the thread tried to run, and there would be no kernel stack available to service the page fault. Since a system may have many thousands of threads, the kernel stacks must be kept small to avoid wasting too much physical memory. In FreeBSD on the Intel architecture, the kernel stack is limited to two pages of memory. Implementors must be careful when writing code that executes in the kernel to avoid using large local variables and deeply nested subroutine calls to avoid overflowing the run-time stack. As a safety precaution, some architectures leave an invalid page between the area for the run-time stack and the data structures that follow it. Thus, overflowing the kernel stack will cause a kernelaccess fault instead of disastrously overwriting other data structures. It would be possible to simply kill the process that caused the fault and continue running. However, the cleanup would be difficult because the thread may be holding locks or be in the middle of modifying some data structure that would be left in an inconsistent or invalid state. So the FreeBSD kernel panics on a kernel-access fault because such a fault shows a fundamental design error in the kernel. By panicking and creating a crash dump, the error can usually be pinpointed and corrected.

4.3 Context Switching

The kernel switches among threads in an effort to share the CPU effectively; this activity is called *context switching*. When a thread executes for the duration of its time slice or when it blocks because it requires a resource that is currently unavailable, the kernel finds another thread to run and context switches to it. The system can also interrupt the currently executing thread to run a thread triggered by an asynchronous event, such as a device interrupt. Although both scenarios involve switching the execution context of the CPU, switching between threads occurs *synchronously* with respect to the currently executing thread, whereas servicing interrupts occurs *asynchronously* with respect to the current thread. In addition, interprocess context switches are classified as voluntary or involuntary. A voluntary context switch occurs when a thread blocks because it requires a resource that is unavailable. An involuntary context switch takes place when a thread executes for the duration of its time slice or when the system identifies a higher-priority thread to run.

Each type of context switching is done through a different interface. Voluntary context switching is initiated with a call to the sleep() routine, whereas an involuntary context switch is forced by direct invocation of the low-level context-switching mechanism embodied in the $mi_switch()$ and setrunnable() routines. Asynchronous event handling is triggered by the underlying hardware and is effectively transparent to the system.

Thread State

Context switching between threads requires that both the kernel- and user-mode context be changed. To simplify this change, the system ensures that all of a thread's user-mode state is located in the thread structure while most kernel state is kept elsewhere. The following conventions apply to this localization:

- Kernel-mode hardware-execution state: Context switching can take place in only kernel mode. The kernel's hardware-execution state is defined by the contents of the TSB that is located in the thread structure.
- User-mode hardware-execution state: When execution is in kernel mode, the user-mode state of a thread (such as copies of the program counter, stack pointer, and general registers) always resides on the kernel's execution stack that is located in the thread structure. The kernel ensures this location of user-mode state by requiring that the system-call and trap handlers save the contents of the user-mode execution context each time that the kernel is entered (see Section 3.1).
- The process structure: The process structure always remains resident in memory.
- Memory resources: Memory resources of a process are effectively described by the contents of the memory management registers located in the TSB and by the values present in the process and thread structures. As long as the process remains in memory, these values will remain valid and context switches can be done without the associated page tables being saved and restored. However, these values need to be recalculated when the process returns to main memory after being swapped to secondary storage.

Low-Level Context Switching

The localization of a process's context in that process's thread structure permits the kernel to perform context switching simply by changing the notion of the current thread structure and (if necessary) process structure, and restoring the context described by the TSB within the thread structure (including the mapping of the virtual address space). Whenever a context switch is required, a call to the $mi_switch()$ routine causes the highest-priority thread to run. The $mi_switch()$ routine first selects the appropriate thread from the scheduling queues, and then resumes the selected thread by loading its context from its TSB.

Voluntary Context Switching

A voluntary context switch occurs whenever a thread must await the availability of a resource or the arrival of an event. Voluntary context switches happen frequently in normal system operation. In FreeBSD, voluntary context switches are initiated through a request to obtain a lock that is already held by another thread or by a call to the *sleep()* routine. When a thread no longer needs the CPU, it is suspended, awaiting the resource described by a *wait channel*, and is given a scheduling priority that should be assigned to the thread when that thread is awakened. This priority does not affect the user-level scheduling priority.

When blocking on a lock, the wait channel is usually the address of the lock. When blocking for a resource or an event, the wait channel is typically the address of some data structure that identifies the resource or event for which the thread is waiting. For example, the address of a disk buffer is used while the thread is waiting for the buffer to be filled. When the buffer is filled, threads sleeping on that wait channel will be awakened. In addition to the resource addresses that are used as wait channels, there are some addresses that are used for special purposes:

- When a parent process does a *wait* system call to collect the termination status of its children, it must wait for one of those children to exit. Since it cannot know which of its children will exit first, and since it can sleep on only a single wait channel, there is a quandary about how to wait for the next of multiple events. The solution is to have the parent sleep on its own process structure. When a child exits, it awakens its parent's process-structure address rather than its own. Thus, the parent doing the *wait* will awaken independently of which child process is the first to exit. Once running, it must scan its list of children to determine which one exited.
- When a thread does a *sigsuspend* system call, it does not want to run until it receives a signal. Thus, it needs to do an interruptible sleep on a wait channel that will never be awakened. By convention, the address of the signal-actions structure is given as the wait channel.

A thread may block for a short, medium, or long period of time depending on the reason that it needs to wait. A short wait occurs when a thread needs to wait for access to a lock that protects a data structure. A medium wait occurs while a thread waits for an event that is expected to occur quickly such as waiting for data to be read from a disk. A long wait occurs when a thread is waiting for an event that will happen at an indeterminate time in the future such as input from a user.

Short-term waits arise only from a lock request. Short-term locks include mutexes, read-writer locks, and read-mostly locks. Details on these locks are given later in this section. A requirement of short-term locks is that they may not be held while blocking for an event as is done for medium- and long-term locks. The only reason that a thread holding a short-term lock is not running is that it has been preempted by a higher-priority thread. It is always possible to get a short-

term lock released by running the thread that holds it and any threads that block the thread that holds it.

A short-term lock is managed by a *turnstile* data structure. The *turnstile* tracks the current owner of the lock and the list of threads waiting for access to the lock. Figure 4.3 shows how *turnstiles* are used to track blocked threads. Across the top of the figure is a set of hash headers that allow a quick lookup to find a lock with waiting threads. If a *turnstile* is found, it provides a pointer to the thread



Figure 4.3 Turnstile structures for blocked threads.